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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of: Jan Adrianus VERSCHOOR, et al

Serial No.: 09/696,605

Group No.: 1614

Filed: October 25, 2000

Examiner: Kevin E. Weddington

or: COMPOSITION COMPRISING A CARRIER AND A PURIFIED MYCOBACTERIAL LIPID  
CELL-WALL COMPONENT AND ITS USE IN THE PREVENTION, TREATMENT AND  
DIAGNOSIS OF DISEASEAssistant Commissioner for Patents  
Washington, D.C. 20231SUBMISSION OF PROPOSED DRAWING AMENDMENT  
FOR APPROVAL BY EXAMINER (37 C.F.R. 1.123)

Attached please find a copy of the original drawing(s)

(check applicable items)

☒ with red ink markings,showing the proposed changes to the drawing(s) in this application, for which the approval of the  
Examiner is requested.

## CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10\*

(When using Express Mail, the Express Mail label number is **mandatory**;  
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

## MAILING

☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents,  
Washington, D.C. 20231.

37 C.F.R. 1.8(a)

37 C.F.R. 1.10\*

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CLIFFORD J. MASS

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Date: September 6, 2002**\*WARNING:** Each paper or fee filed by "Express Mail" **must** have the number of the "Express Mail" mailing label placed  
thereon prior to mailing. 37 C.F.R. 1.10(b)."Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight  
that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be  
granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

Reg. No. 30,086

Tel. No.: 212-708-1890

Customer No.: 00140

  
SIGNATURE OF PRACTITIONER

CLIFFORD J. MASS

(Type or print name of practitioner)

P.O. Address

c/o Ladas & Parry  
26 West 61<sup>st</sup> Street  
New York, N.Y. 10023

*NOTE: 37 C.F.R. 1.123 indicates that "Where a change to the drawings is desired a sketch in permanent ink showing proposed changes, in red, to become part of the record, must be filed for approval by the examiner and should be in separate paper."*

*NOTE: While drawings submitted under §§ 1.81, 1.83-1.85, 1.152, 1.165, 1.774, and 1.437 may not be filed by facsimile, proposed drawing corrections for approval may be submitted by facsimile transmission. Notice of Oct. 15, 1993, 58 Fed. Reg. 54,494-54,504, at 54,495.*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Jan Adrainus VERSCHOOR, et al  
Serial No.: 09/696,605 Group No.: 1614  
Filed: October 25, 2000 Examiner: Kevin E. Weddington  
For: COMPOSITION COMPRISING A CARRIER AND A PURIFIED MYCOBACTERIAL LIPID  
CELL-WALL COMPONENT AND ITS USE IN THE PREVENTION, TREATMENT AND  
DIAGNOSIS OF DISEASE

Date of mailing of PTOL 85 entitled "Notice of Allowance  
and Issue Fee Due" June 6, 2002

Confirmation No. 8621

**BOX ISSUE FEE**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL OF NEW DRAWING(S) TO CORRECT INFORMALITIES  
WITHIN THREE MONTH PERIOD OF RESPONSE SET  
IN NOTICE OF ALLOWABILITY (PTOL 37)**

*NOTE: Applicant may correct any informalities in the drawings made by the Draftsman's objections on PTO-948 by filing new drawings with the changes incorporated therein. If the filing of the drawings is delayed until receipt of the "Notice of Allowability" (PTOL-37), the new drawings MUST be filed within the THREE MONTH shortened statutory period set for response in the "Notice of Allowability" (PTOL-37).*

*NOTE: Corrected drawings, as well as the issue fee, should be addressed to: Box Issue Fee. Notice of November 30, 1990 (1122 O.G. 571 to 591).*

*NOTE: 37 C.F.R. § 1.85 Corrections to drawings: "(c) If a corrected drawing is required or if a drawing does not comply with § 1.84 at the time an application is allowed, the Office may notify the applicant and set a three month period of time from the mail date of the notice of allowability within which the applicant must file a corrected or formal drawing in compliance with § 1.84 to avoid abandonment. This time period is not extendable under § 1.136(a) or § 1.136(b).*

*37 C.F.R. § 1.36 Extensions of time: "(c) If an applicant is notified in a "Notice of Allowability" that an application is other wise in condition for allowance, the following time periods are not extendable if set in the "Notice of Allowability" or in an Office action having a mail date on or after the mail date of the "Notice of Allowability":*

\*\*\*

*(2) The period of submitting formal drawings set under § 1.85(c)."*

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**CERTIFICATE OF MAILING (37 C.F.R. 1.8)**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: September 6, 2002

CLIFFORD L. MASS  
(type or print name of person mailing paper)

Signature of person mailing paper

**WARNING.** "Facsimile transmissions are not permitted and if submitted will not be accorded a date of receipt" for "(4) Drawings submitted under §§ 1.81, 1.83 through 1.85, 1.152, 1.165, 1.174, 1.437 . . ." 37 C.F.R. 1.6(d)(4).

NOTE: Corrected drawings, as well as the issue fee, should be addressed to: Box Issue Fee. Notice of November 30, 1990 (1122 O.G. 571 to 591).

NOTE: Applicant is required to submit ACCEPTABLE corrected drawings within the three month shortened statutory period set in the "Notice of Allowability" (PTOL-37). Within that three month period, two weeks should be allowed for review by the Office of the correction. If a correction is determined to be unacceptable by the Office, applicant must arrange to have an acceptable correction re-submitted within the original three month period to avoid the necessity of obtaining an extension of time and of paying the extension fee. THEREFORE, APPLICANT SHOULD FILE CORRECTED DRAWINGS AS SOON AS POSSIBLE. Notice of January 14, 1985 (1051 O.G. 3). See also 37 C.F.R. 1.85(c).

### SUBMISSION OF DRAWING(S)

1. To correct the informalities in the drawings as noted in the Draftsman's objection(s) on PTO-948, applicant submits herewith new drawing(s) for this application. Number of sheets of drawings submitted: 40.

NOTE: "Identifying indicia, if provided, should include the title of the invention, inventor's name and application number, or docket number (if any) if an application number has not been assigned to the application. If this information is provided, it must be placed on the front of each sheet and centered within the top margin. 37 C.F.R. 1.84(c)).

2. The three month period of response set in the Notice of Allowability (PTOL 37) expires on September 6, 2002. This submission is on or before this expiry date.

3. ☒ Although no extension is ordinarily possible, if an extension of term is deemed to be required, please consider this a request therefor, and an authorization to charge this deposit account No. 12-0425 for the extension fee.

  
\_\_\_\_\_  
SIGNATURE OF PRACTITIONER

\_\_\_\_\_  
CLIFFORD J. MASS

(type or print name of practitioner)

Reg. No. 30,086

Tel. No.: (212) 708-1890

Customer No.: 00140

\_\_\_\_\_  
P.O. Address

\_\_\_\_\_  
c/o Ladas & Parry  
26 West 61 Street  
New York, N.Y. 10023

8P  
P#10  
11-18-03

# PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail** **Box ISSUE FEE**  
**Commissioner for Patents**  
**Washington, D.C. 20231**  
**Fax** **(703)746-4800**

**INSTRUCTIONS:** This form should be used for transmitting the **ISSUE FEE** and **PUBLICATION FEE** (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

**CURRENT CORRESPONDENCE ADDRESS (NOTE: Legibly mark-up with any corrections of this Block 1)**

00140 7590 06/06/2002

**LADAS & PARRY**  
**26 WEST 61ST STREET**  
**NEW YORK, NY 10023**



**Note:** A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above, or being facsimile transmitted to the USPTO, on the date indicated below.

**Clifford J. Mass** (Depositor's name)  
(Signature)  
**September 6, 2002** (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/696,605	10/25/2000	Jan Adrianus Verschoor	U 013022-9	8621

**TITLE OF INVENTION:** COMPOSITION COMPRISING A CARRIER AND A PURIFIED MYCOBACTERIAL LIPID CELL-WALL COMPONENT AND ITS USE IN THE TREATMENT AND DIAGNOSIS OF DISEASE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1280	\$0	\$1280	09/06/2002

EXAMINER	ART UNIT	CLASS-SUBCLASS
WEDDINGTON, KEVIN E	1614	514-557000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 \_\_\_\_\_  
2 **LADAS & PARRY**  
3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

**PLEASE NOTE:** Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

**Adcock Ingram Limited**

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

**Bryanston, South Africa**

Please check the appropriate assignee category or categories (will not be printed on the patent)

☐ individual ☐ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

☒ Issue Fee

☐ Publication Fee

☐ Advance Order # of Copies \_\_\_\_\_

4b. Payment of Fee(s):

☒ A check in the amount of the fee(s) is enclosed. **\$1280.00**

☐ Payment by credit card. Form PTO-2038 is attached.

☒ The Commissioner is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number **12-0425** (enclose an extra copy of this form).

Commissioner for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)

(Date)

**September 6, 2002**

**NOTE:** The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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**CLIFFORD J. MASS**  
**c/o LADAS & PARRY**  
**26 WEST 61ST STREET**  
**NEW YORK, NY 10023**  
**REG. NO.: 30,086 (212)708-1890**

**09/12/2002 RWRIS2 00000113 09696605**

**01 FC:142**

**1280.00 (P)**

TRANSMIT THIS FORM WITH FEE(S)